

DISCLOSURE DOCUMENT FOR PORTFOLIO MANAGEMENT
September 30, 2021

- (i) This Disclosure Document has been filed with the Securities and Exchange Board of India (SEBI), along with the certificate in the prescribed format in terms of regulation 22 of the SEBI (Portfolio Managers) Rules and Regulations, 2020.
- (ii) The purpose of the Disclosure Document is to provide essential information about the portfolio management services of Banyan Tree Advisors Private Limited (Banyan Tree Advisors), in a manner to assist and enable investors in making an informed decision while engaging Banyan Tree Advisors.
- (iii) The necessary information about the portfolio manager, Banyan Tree Advisors, required by an investor before investing is given herein, and the investor is advised to retain this document for future reference.
- (iv) All the intermediaries involved in this Portfolio Management service are registered with SEBI as on the date of this document
- (v) Details of the Principal Officer of **Banyan Tree Advisors Pvt Ltd**

W S Ravishankar
2966, 4th Cross, 12th Main, HAL 2nd Stage
Indira Nagar, Bangalore – 560 008
Telephone: +91 80 46428500, 0 9342519878
Fax: +91 80 42036196
Email: principalofficer@banyantreeadvisors.com

- (vi) Corporate Details of **Banyan Tree Advisors Pvt Ltd**
Website: www.banyantreeadvisors.com
SEBI Reg No: INP000001173
CIN No: U74140KA2004PTC034474



INDEX

ITEM NO	CONTENTS	PAGE NO
1	Disclaimer Clause.....	3
2	Definitions.....	3
3	Description.....	4
(i)	History, Present Business and Background of the Portfolio Manager.....	4
(ii)	Key Personnel of the Portfolio Manager and their backgrounds.....	4
(iii)	Top 10 group companies/firms of the Portfolio Manager on turnover basis...	5
(iv)	Details of services being offered.....	5
4	Penalties, Pending Litigation, Proceedings etc.....	5
5	Services offered.....	6
6	Risk Factors.....	6
7	Client Representation.....	7
8	Financial Performance.....	7
9	Portfolio Management Performance.....	8
10	Audit Observations.....	8
11	Nature of Expenses.....	9
12	Taxation.....	9
13	Accounting Policies.....	9
14	Investor Services.....	10
15	ANNEXURE – Auditors Certificate and audited financials	11

Anupama

